

08-17-06

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EXPRESS MAIL LABEL NO.: EV695937618US PATENT APPLICATION  
 Docket No.: 15436.374

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: AMENDMENT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- X Form PTO-1449 listing 2 references submitted for consideration.
- X Legible copies of the listed non-U.S. patent references or their relevant portions.
- All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

- Legible Copies of previously filed Information Disclosure Statement for application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

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EXPRESS MAIL LABEL NO.: EV695937618US      PATENT APPLICATION  
Docket No.: 15436.374

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

\_\_\_\_\_ Check No. \_\_\_\_\_ in the amount of \$180.00 constituting submission fee under 37 C.F.R. 1.17(p);

X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 15<sup>th</sup> day of August, 2006.

Respectfully submitted,

Eric L. Maschoff  
Attorney for Applicant  
Registration No. 36,596  
Customer No. 022913

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- Acceptance, August 16, 2006, 4:55 pm, SALT LAKE CITY, UT 84147
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EXPRESS MAIL LABEL NO.: EV695937618US

PATENT APPLICATION

Docket No.: 15436.374

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:		)
		)
	Greta Light	)
		)
Serial No.:	10/829,609	) Art Unit
		) 2633
Filed:	April 22, 2004	)
		)
Confirmation No.:	6844	)
		)
For:	COMPACT OPTICAL TRANSCEIVERS	)
		)
Customer No.	022913	)
		)
Examiner:	Unknown	)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Mail Stop: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

EXPRESS MAIL LABEL NO.: EV695937618US

PATENT APPLICATION

Docket No.: 15436.374

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed non-U.S. patent references or relevant portion thereof is also enclosed.

This Information Disclosure Statement is being filed within three months of the original filing date of the Application, or before a first Office Action on the Merits has been received, therefore no fee is believed to be due.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

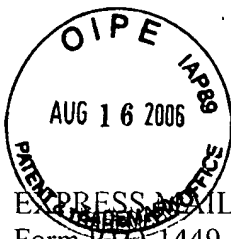
Dated this 15<sup>th</sup> day of August, 2006.

Respectfully submitted,



Eric L. Maschoff  
Attorney for Applicant  
Registration No. 36,596  
Customer No. 022913

ELM/vlr  
VR0000000111V001



EXPRESS MAIL LABEL NO.: EV695937618US

Form PTO-1449

Sheet 1 of 2

Applicant: Greta Light

Serial No.: 10/829,609

Att'y Docket No.: 15436.374

Filing Date: April 22, 2004

Group: 2633

Confirmation No.: 6844

For: COMPACT OPTICAL TRANSCEIVERS

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SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
_____ A1.	6,540,412	04/01/2003	Yonemura et al.

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans- lation</u>
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NONE

Other Documents

(including author), title, relevant pages, date of publication including at least month and year).

Examiner

Initial\*

\_\_\_\_\_ A2. X2-MSA, A Cooperation Agreement For A Small Versatile 10 Gigabit Transceiver Package, Issue 0.9, 31 July 2002, p.14.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV695937618US

Form PTO-1449

Sheet 2 of 2

Applicant: Greta Light

Serial No.: 10/829,609

Att'y Docket No.: 15436.374

Filing Date: April 22, 2004

Group: 2633

Confirmation No.: 6844

For: COMPACT OPTICAL TRANSCEIVERS

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

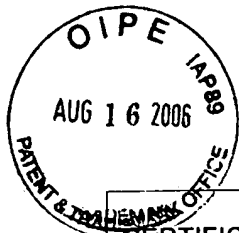
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Examiner:

Date Considered:

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Greta Light				Docket No. 15436.374	
Serial No. 10/829,609	Filing Date 04/22/2004	Confirmation No. 6844	Examiner Unknown	Group Art Unit 2633	
Invention: COMPACT OPTICAL TRANSCEIVERS					
<p>I hereby certify that the Transmittal letter (2 pages); Supplemental Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (2 pgs); Legible Copies of Cited non-U.S. Patent References; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>August 16, 2006</u></p>					
<p>Vernice Robinson</p> <p>_____ (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>Vernice Robinson</u> (Signature of Person Mailing Correspondence)</p> <p>EV695937618US</p> <p>_____ ("Express Mail" Mailing Label Number)</p>					
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